

SEC
Quarterly Review
January-March 2010

Securities and Exchange Commission
Bangladesh

SECURITIES AND EXCHANGE COMMISSION
Quarterly Review
January-March 2010

A Publication of the SEC

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CAPITAL MARKET NEWS

Securities and Exchange Commission (SEC)

Securities and Exchange Commission, the capital market regulator, was established in June 1993 through enactment of the Securities and Exchange Commission Act, 1993. The missions of the Commission are:

- Protecting the interest of investors in securities;
- Developing the capital and securities markets; and
- Framing of securities rules concerning above.

Major Events & Developments

During January-March 2010, major activities of the Securities and Exchange Commission (SEC) are furnished below:

Obligating companies to upload quarterly financial statements in their website:

The Commission has issued directive on 17 January 2010 to all listed companies for making available in their website the detailed quarterly financial statements, which is vital for informed investment decision and for avoidance of confusion. The directive also instructed to include reference of the website in the newspaper while the quarterly financial statements are published.

Fixing maturity of closed-end mutual funds:

The Commission has issued an order on 24 January 2010 to all closed-end mutual funds setting the maximum period of their maturity to 10 years. Accordingly, no mutual fund shall have maturity for more than 10 years. However, the closed-end mutual funds which have already passed 10 years after launching are allowed to continue a little more but must retire within 31 December 2011.

Directive to exchanges for development and use of Uniform Software for their members:

On 31 January 2010, the Commission issued directive to both DSE and CSE instructing them to develop uniform software for their members which should incorporate in it all necessary information including financial adjustment (financial netting) and regular reporting requirements of the Commission and the exchanges. The directive asked the exchanges to bring the software under operation by 30 April 2010.

Margin issues:

On February 1, 2010, the Commission issued directive to DSE and CSE and their members instructing not to extend credit facilities to their approved clients beyond 1.5 times of their margin/deposit, i.e. set the margin ratio to 1:1.5. On the same date, the Commission issued other directives to exchanges, members, merchant bankers and portfolio managers stopping margin or credit facilities for equity security whose PE ratio remains above 50. Again, the Commission issued directives on February 03, 2010 changing margin ratio to 1:1 effecting from 7th February 2010. On 07 March 2010, the Commission restricted margin facility for mutual fund whose current market price would exceed 15% of its immediate previously published NAV, effecting from February 8, 2010. On 15 March 2010, the Commission changed the margin ratio to 1:1.5 effecting from 18 March 2010. On 23 March 2010, the Commission issued directive related to method of margin requirement calculation.

Discontinuing netting for purchase of GP shares:

Through a directive on 08 February 2010, the Commission discontinued financial adjustment on purchase of Grameen Phone shares using funds in transit against value of sales of other securities within the existing settlement and clearance period, effecting from 10 February 2010.

Notification on transfer of IPO refund and dividend:

On February 09, 2010, the Commission issued notification instructing issuers to transfer the IPO refund money as per the options given by the applicant in IPO application form either through depositing in applicant's bank account or through providing refund warrant. On the same date, the Commission issued another notification instructing the issuers to transfer shareholder's cash dividend by depositing in their bank account or by providing through dividend warrant or in case of paper share transfer by means of any way, at the option of the shareholder. The notification instructed issuers to transfer stock dividend into shareholder's BO account in case of dematted shares or to issue paper share certificate in case of paper shares. The distribution of dividend is to be completed within 30 days of its declaration.

Placing shares of GP and Marico at Spot market:

On February 18, 2010 the Commission issued directive instructing to place shares of GP and Marico in spot market in the interest of investors, effecting from 22 February 2010 until further directive.

Changing face value and market lot of shares:

To implement the decision of the meeting at the Ministry of Finance chaired by the Finance Minister, Government of the People's Republic of Bangladesh, the Commission issued circular on 1 March 2010 in connection with standardization of face value of share to Tk 100 and market lot size to same number for all new shares, where the face value and lot size were decided to remain unchanged for all existing shares.

Size of public issue and market lot worth in IPO:

On 11 March 2010, the Commission issued notification related to public issue size and market lot worth in IPO. The notification set minimum paid-up capital requirement (existing + proposed) for IPO at Tk 40 crore and minimum public offer at IPO in different ranges which are minimum 40% for paid-up capital upto Tk 75 crore allowing no private placement, minimum 25% or Tk 30

crore whichever is higher for paid-up capital exceeding Tk 75 crore upto Tk 150 crore allowing no private placement, minimum 15% or Tk 40 crore whichever is higher for paid-up capital exceeding Tk 150 crore, and also set the market lot to Tk 1000 worth of securities at face value or multiple thereof.

Lock-in for Mutual Funds:

On 11 March 2010, the Commission issued notification related to fund size of mutual fund and lock-in on private placement IPO. The notification set the fund size for closed-end mutual fund to Tk 50 crore and for open-end mutual fund to Tk 20 crore, set the minimum size of public offer for mutual fund scheme to 50% of fund size, and also imposed lock-in period of one year for private placement.

Amendment of the Insider Trading Rules, 1995:

The Commission amended the section 4, sub-section 2 of the Insider Trading Rules, 1995 restricting the sponsor, director, officer, staff, auditor, legal advisor and other insiders as per law from buy, sell, transfer or any other type of handover of shares of the concerned company between the date preceeding financial year end by 2 months and the date of final acceptance or approval of the annual accounts by the board.

Investors' Education Program:

SEC conducts Investors Education Program twice a month throughout the year at SEC premise to create awareness among the general investors about capital market. During January-March, 2010, a total of 187 investors participated in the said programs. Senior SEC officials made presentations on different topics related to capital market in the programs and responded to the queries of the participants. In order to enhance the participation of women investors in capital market, SEC has introduced separate Investor Education Program for Women only.

Training Program for Authorized Representatives:

In order to educate and train market participants, the Commission, in association with Dhaka Stock Exchange Ltd and Chittagong Stock Exchange Ltd., arranged training for authorized representatives of the members of Dhaka Stock Exchange Ltd on 17-18 January,2010;20-21 January,2010 and 30 January-1 Febuary, 2010; 3-4 Feb,14-15Feb, 22-23 February,2010; 14-15 March,2010; 24-25 March 2010 at DSE and for authorized representatives of the members of Chittagong Stock Exchange Ltd on 17-18 January, 3-4, February,2010 at SEC premises; 26-27 February,2010 at Sylhet and 12-13 March 2010 at Hotel Agrabad, Chittagong where a total of 672 authorized representatives attended. Senior officials of SEC, DSE and CSE conducted aforesaid programs highlighting securities laws, particularly issuance, trading and settlement of securities transaction, securities analysis and portfolio management etc.

CORPORATE FINANCE (CF)

CFD performed the following activities during January-March, 2010

Sl. No.	Particulars	Action taken	No. of Company
01.	Qualified audit report and/or CFD's observation on the audited financial statements.	Explanation sought from the companies	05
		Referred to Enforcement Department for necessary action against the companies as their clarification regarding auditors' qualified report and/or Commission's observations were not satisfactory.	02
		Companies referred to SRMIC Department for appropriate action since there was significant doubt about their ability to continue as going concern.	01
		Explanation sought from the statutory auditors.	02
02.	Time extension for submission of audited financial statements for the year ended on June 30, 2009.	Time extended.	01
		Time not extended.	01
03.	Non-submission of un-audited financial statements for the half-year ended on December 31, 2009.	Referred to Enforcement Department for appropriate action for non-submission of un-audited financial statements for the half-year ended on December 31, 2009.	14

CAPITAL ISSUE

Capital Raising:

During January-March, 2010 the Commission accorded consent to the following private limited companies and public limited companies to raise capital for the amount stated in the table below:

Private Limited Company:

Sl.	Name of the Company	Applied for	Status	Amount (BDT)
1	Brac BD Mail Network	Ordinary Shares of Tk. 49,82,80,000.00	Consent Letter issued on 22.02.10	49,82,80,000.00
2	Warid Telecom International Limited	Ordinary Shares of Tk. 2,700,00,00,000.00	Consent Letter issued on 23.02.10	2700,00,00,000.00
3	Lanka Bangla Securities Limited	Bonus Shares of Tk.15,52,03,200.00	Consent Letter issued on 12.01.10	15,52,03,200.00
4	Hosaf Meter Industry Limited	Ordinary Shares of Tk. 20,00,00,000.00	Consent Letter issued on 22.03.10	20,00,00,000.00
5	Texmill Limited	Debenture of Tk. 3,50,00,000.00	Consent Letter issued on 01.02.10	3,50,00,000.00
6	Aftab Fertilizer & Chemicals Ltd.	Ordinary shares of Tk.22,49,00,000.00	Consent Letter issued on 21.01.10	22,49,00,000.00
7	Aftab Foods Limited	Ordinary shares of Tk.39,98,00,000.00	Consent Letter issued on 27.01.10	39,98,00,000.00
8	Aftab Global Textiles Ltd.	Ordinary Shares of Tk. 49,99,00,000.00	Consent Letter issued on 13.01.10	49,99,00,000.00
9	Aftab Garments	Ordinary shares of Tk.37,99,00,000.00	Consent Letter issued on 21.01.10	37,99,00,000.00
10	Aftab GNG Limited	Ordinary shares of Tk.29,95,00,000.00	Consent Letter issued on 27.01.10	29,95,00,000.00

	Southern Multilayer Ltd.	Debenture of Tk. 2,00,00,000.00	Consent Letter issued on 18.02.10	2,00,00,000.00
11	Rahimafrooz Super Stores Ltd.	Ordinary shares of Tk.6,36,92,400.00	Consent Letter issued on 27.01.10	6,36,92,400.00
12	Active Fine Chemicals Limited	Ordinary shares of Tk.4,00,00,000.00	Consent Letter issued on 22.02.10	4,00,00,000.00
	Grow Biz Ind. Ltd.	Debenture of Tk.3,00,00,000.00	Consent Letter issued on 06.01.10	3,00,00,000.00
13	Paragon Ceramic Industries Limited	Ordinary shares of Tk.25,00,00,000.00	Consent Letter issued on 11.03.10	25,00,00,000.00
14	Aamra Technologies Limited	Ordinary shares of Tk.8,53,33,330.00	Consent Letter issued on 22.03.10	8,53,33,390.00
15	Shakil & Shinthea Knit Composite Ltd.	Debenture of Tk.2,50,00,000.00	Consent Letter issued on 02.03.10	2,50,00,000.00
16	MJL Bangladesh Limited	Bonus shares of Tk.105,24,00,000.00	Consent Letter issued on 17.02.10	105,24,00,000.00
17	Excursion & Ressorts Bangladesh Limited	Ordinary shares of Tk.8,47,00,000.00	Consent Letter issued on 03.03.10	8,47,00,000.00
18	Prime Bank Securities Limited	Ordinary shares of Tk.75,00,00,000.00	Consent Letter issued on 17.02.10	75,00,00,000.00
19	Ananda Shipyard & Slipways Limited	Ordinary shares of Tk.180,25,00,000.00	Consent Letter issued on 15.03.10	180,25,00,000.00
20	Capital Paper & Pulp Industries Limited	Ordinary shares of Tk.8,00,00,000.00	Consent Letter issued on 22.03.10	8,00,00,000.00
21	Hotel Mohana International Limited	Debenture of Tk.3,00,00,000.00	Consent Letter issued on 22.03.10	3,00,00,000.00
22	Faridpur Samorita General Hospital Limited	Debenture of Tk.2,00,00,000.00	Consent Letter issued on 15.03.10	2,00,00,000.00
23	MTB Securities Limited	Ordinary shares of Tk.100,00,00,000.00	Consent Letter issued on 02.03.10	100,00,00,000.00

24	NCCB Capital Ltd.	Ordinary shares of Tk.25,00,00,000.00	Consent Letter issued on 24.03.10	25,00,00,000.00
25	NCCB Securities Limited	Ordinary shares of Tk.25,00,00,000.00	Consent Letter issued on 24.03.10	25,00,00,000.00
26	Creative Paper Mills Ltd.	Ordinary Shares of Tk.20,00,00,000.00	Consent Letter issued on 01.03.10	20,00,00,000.00
27	Dhaka Shanghai Ceramics Limited	Ordinary Shares of Tk. 15,75,00,000.00	Consent Letter issued on 13.01.10	15,75,00,000.00
28	ACI Godrej Agrovate Private Limited	Ordinary Shares of Tk. 4,00,00,000.00	Consent Letter issued on 26.01.10	4,00,00,000.00
29	Sungarh Tex Limited	Ordinary Shares of Tk.4,80,94,000.00	Consent Letter issued on 29.03.10	4,80,94,000.00
	Total			3582,99,52,990.00

Public Limited Company:

During January-March, 2010 the Commission accorded consent to the following public limited companies and public limited companies to raise capital for the amount stated in the table below:

Sl.	Name of the Company	Applied for	Status	Amount
1	S. Alam Cold Rolled Steels Limited	Preference Shares of Tk. 53,34,48,000.00	Consent Letter issued on 11.01.2010	53,34,48,000.00
2	Infrastructure Development Co. Ltd.	Ordinary Shares of Tk. 16,00,00,000.00	Consent Letter issued on 02.03.2010	16,00,00,000.00
3	Hajj Finance Co. Ltd.	Ordinary Shares of Tk. 6,25,00,000.00	Consent Letter issued on 22.03.2010	6,25,00,000.00
4	Aftab Automobiles Limited	Preference Shares of Tk. 180,00,00,000.00	Consent Letter issued on 11.01.2010	180,00,00,000.00
5	Delta Pharma Limited	Ordinary Shares of Tk. 5,48,00,000.00	Consent Letter issued on 05.01.2010	5,48,00,000.00

6	Ispahani Distributions Limited	Ordinary Shares of Tk. 1,50,00,000.00	Consent Letter issued on 12.01.2010	1,50,00,000.00
7	Bangladesh Steel Re-Rolling Mills Limited	Bonus Shares of Tk. 12,12,50,200.00	Consent Letter issued on 17.01.2010	12,12,50,200.00
8	Envoy Textiles Limited	Ordinary Shares of Tk. 50,00,00,000.00	Consent Letter issued on 13.01.2010	50,00,00,000.00
9	Envoy Textiles Limited	Ordinary Shares of Tk. 10,00,00,000.00	Consent Letter issued on 04.02.2010	10,00,00,000.00
10	Prime Bank Investments Limited	Ordinary Shares of Tk. 300,00,00,000.00	Consent Letter issued on 12.01.2010	300,00,00,000.00
11	Sonali Investments Limited	Ordinary Shares of Tk. 100,00,00,000.00	Consent Letter issued on 22.03.2010	100,00,000.00
	Total			7346998000.00

Status Report of Right Issue for January-March, 2010

Sl. No.	Name of the Company	Status	Number of Shares	Total Amount (BDT)
1	Peoples Insurance Company Ltd.	Approved on 11.01.2010	20,000 ordinary shares of Tk.100.00 each at an issue price of Tk.200.00per share (including a premium of Tk.100 each) totaling Tk.40,00,00,000.00 at a ratio of 1:1	Tk.40,00,00,000.00
2	Mercantile Bank Limited.	Approved on 04.02.2010	1,43,89,423ordinary shares of Tk.100.00 each at par totaling Tk.143,89,42,300.00 at	Tk.143,89,42,300.00

			a ratio of 1:1	
	Total			Tk.183,89,42,300.00

Status Report of Direct Listing for January –March, 2010

Sl. No.	Name of the Company	Status	Number of Shares be offloaded	Total Amount (BDT)
1	Ocean Containers Ltd.	Trade commenced on 04.03.2010	1,19,00,000	Tk.11,90,00,000.00
2	Mercantile Bank Limited.	Trade commenced on 04.03.2010	5,21,48,250	Tk.52,14,82,500.00
	Total			Tk.75,94,82,500.00

Particulars of the issues for which Commission accorded consent for publication of Prospectus during January-March, 2010

Sl. No	Name of the Co	Name of the Issue Manager	Date of Approval	Face value Per share	Total Capital (In Tk.) (9+13)	Sponsors Equity (Excluding premium, In Tk.)			Public Equity (Excluding premium, In Tk.)			Total (10+11+12)	Remarks	Subscribed amount Ref Col No 10	Date of Subscription Opens : Closes :
						Local	Foreign	Total	General Public	Foreign placement	Local placement				
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	17
01.	RAK Ceramics (Bangladesh) Ltd.	i)IDLC Finance Ltd. ii)BRAC EPL investment Limited	28/03/2010	10.00	230.0735 crore	28.55645 crore	167.00705 crore	195.5635 crore	34.51 crore	---	---	34.51 crore	Under book building at an issue price of Tk. 48.00 per share	-	25.04.2010 29.04.2010

Surveillance Department

Daily Market Surveillance: As part of daily market surveillance, the surveillance officials watch and analyze the trading activities in both the stock exchanges through non trading on-line surveillance system in order to detect suspicious trading regarding irregularities and market misconducts, if any. At the end of trading, a daily trade report, at the end of week, weekly trade report & at the end of month, monthly trade report is prepared by pointing out market conditions and submitted to the Chairman, the Members and the EDs of the Commission.

Investigation and Enquiry: In connection to fair-trading and confidence of investors in the securities market it is necessary to ensure proper compliance of securities related laws, rules and regulations through inspection & investigation in suspicious and manipulative cases. In this regard, the following stock-brokers/stock-dealers' activities have been investigated by SEC, Dhaka Stock Exchange, Chittagong Stock Exchange during the mentioned period.

Nature of Enquiry & Investigation	Investigation & Enquiry Against	Inspection & Enquiry By
To enquiry into the activities like posting different opinion related to capital market, which includes spreading rumors, prediction of future price movement of particular securities and recommending buy or sale of securities by certain persons using Facebook and other websites.	Web based persons/organizations	1. Mr. ATM Tariquzzaman, Executive Director, SEC 2. Mr. Mahbubul Alam, Director, SEC 3. Mr. Rajib Ahmed, Deputy Director, SEC 4. Mr. A.S.M. Khairuzzaman, Chief Technology Officer, DSE
To investigate the unusual share trading of Grameenphone Ltd during January 2010.	Major involved stock-brokers/stock-dealers of DSE and CSE	DSE and CSE
To enquiry into the certain unusual share trading of Grameenphone Ltd in terms of volume and price on the stock exchanges.	Major involved stock-brokers/stock-dealers of DSE and CSE, Merchant Bankers and Grameenphone Ltd	1. Mr. Mohammad Rezaul Karim, Director, SEC 2. Mr. Md. Iqbal Hossain, AD, SEC
To investigate the unusual share trading of Chittagong Vegetable Oil Industries Ltd at DSE during January 2010 and February 2010.	Major involved stock-brokers/stock-dealers of DSE	Dhaka Stock Exchange Ltd
To enquiry into the activities like	Certain Person	1. Mr. Mohammed Jahangir

spreading rumors regarding future price of Golden Son Limited by certain person using certain mobile number.		Alam, DD, SEC 2. Mr. Mohammad Abul Hasan, DD, SEC
To inspect the office of Industrial and Infrastructure Development Finance Company Ltd (DSE member #238) for examining a specific unusual order dated march21, 2010 for buying shares of GP.	IIDFC Ltd.	1. Mr. Sheikh Mahbub-ur-Rahman, DD, SEC 2. Ms. Tania Sharmin, AD, SEC

REGISTRATION

Issuance and Renewal of Stock Dealer /Stock Broker Registration Certificate:

During January- March 2010, the Commission issued 21 (Twenty One) Stock broker/Stock dealer registration certificates in favor of different members of Dhaka Stock Exchange Ltd. And 11 (eleven) Stock broker/Stock dealer registration certificates in favor of different members of Chittagong Stock Exchange Ltd under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০.

Dhaka Stock Exchange Limited:

Sl. No.	Name & Address of the Stock dealer/ Stock broker	Category	Membership No.	Registration Certificate No. & Issue Date
1	Hasan Securities Ltd. 115/7-A Distilary Road Gandaria , Dhaka - 1204.	Stock broker and Stock dealer	DSE-57	Reg-3.1/DSE-57/2010/369 Date: 08.02.2010 & Reg-3.1/DSE-57/2010/370 Date: 08.02.2010
2	ACE Capital Management Services Ltd. Room # 611, Dhaka Stock Exchange Building 9/F Motijheel C/A Dhaka-1000	Stock Dealer	DSE-16	Reg-3.1/DSE-16/2010/372 Date: 15.02.2010
3	M Securities Ltd. 9/E Motijheel C/A Dhaka-1000	Stock dealer	DSE-44	Reg-3.1/DSE-44/2010/373 Date: 15.02..2010
4	Moshihor Securities Ltd. Dilkusha Center (14 th ,15 th Floor), Suit No. 1304,1401, 1402 28,Dilkusha C/A, Dhaka	Stock dealer	DSE-134	Reg-3.1/DSE-134/2010/385 Date: 11.03..2010

5	Bangladesh Commerce Bank Ltd. 19 Rajuk Avenue , sssss Complex , Motijheel, Dhaka-1000	Stock dealer	DSE # 180	Reg-3.1/DSE-180/2010/374 Date: 15.02..2010
6	Island Securities Ltd 1403 SK. Mujib Road, Faruk Chamber, Agrabad, Chittagong	Stock dealer	DSE # 106	Reg-3.1/DSE-106/2010/375 Date: 15.02..2010
7	ICB Securities Trading Company Ltd. 8 DIT Avenue, BSB Bhaban (14 th Floor), Dhaka	Stock dealer	DSE # 129	Reg-3.1/DSE-129/2010/376 Date: 15.02..2010
8	Mid Way Securities Ltd. Room # 508, Dhaka Stock Exchange Building 9/F Motijheel C/A Dhaka-1000.	Stock broker	DSE-142	Reg-3.1/DSE-142/2010/371 Date: 15.02.2010
9	Far East Stocks & Bonds Ltd. Printers Building (9 th Floor) 5 Rajuk Avenue Motijheel C/A , Dhaka-1000	Stock Dealer	DSE-16	Reg-3.1/DSE-16/2010/378 Date: 15.02.2010 & Reg-3.1/DSE-57/2010/377 Date: 08.02.2010
10	Unique Share Management Ltd. Unique Trade Centre, 8 Pantho Path, 8 Karwan Bazar, Dhaka-1215	Stock dealer	DSE-109	Reg-3.1/DSE-109/2010/380 Date: 22.02..2010
11	ARC Securities Ltd. Madhumita Building (3 rd Floor),158-160 Motijheel C/A, Dhaka-1000	Stock dealer	DSE # 100	Reg-3.1/DSE-100/2010/381 Date: 25.02..2010
12	Brac EPL Stock Brokerage, Room # 1001-1003, DSE Annex Building (10 th Floor) 9/E Motijheel C/A Dhaka-1000.	Stock dealer & Stock broker	DSE # 11	Reg-3.1/DSE-11/2010/383 Date: 28.02..2010 & Reg-3.1/DSE-11/2010/382 Date: 28.02..2010
13	Salma & Trading Co. Ltd. Room # 201,202,205 DSE Building , 9/F Motijheel C/A Dhaka-1000.	Stock dealer	DSE # 190	Reg-3.1/DSE-190/2010/388 Date: 11.03..2010
13	Al Muntaha Trading Co, Ltd. Room # 525, DSE Annex Building ,9/E	Stock dealer	DSE # 49	Reg-3.1/DSE-49/2010/384 Date: 11.03..2010

	Motijheel C/A Dhaka-1000.			
14	Shahed Securities Ltd. Room # 526, DSE Annex Building ,9/E Motijheel C/A Dhaka-1000.	Stock dealer	DSE # 123	Reg-3.1/DSE-123/2010/387 Date: 26.01..2010
15	Kazi Firoz Rashid Securities Ltd Room # 408, 503, DSE Building ,9/F Motijheel C/A Dhaka-1000.	Stock dealer	DSE # 29	Reg-3.1/DSE-29/2010/386 Date: 21.03..2010
16	Al Haja Jahanara Securities Ltd. Room # 217, DSE Building ,9/F Motijheel C/A Dhaka- 1000.	Stock dealer	DSE # 138	Reg-3.1/DSE-138/2010/390 Date: 21.03..2010
17	Oshadi Securities Ltd 158-160 Madhumita Building(7 th Floor) Room # 705, Dhaka-1000	Stock dealer	DSE # 208	Reg-3.1/DSE-208/2010/389 Date: 21.03..2010
18	SB Securities Ltd Room # 410, DSE Building ,9/F Motijheel C/A Dhaka- 1000.	Stock dealer	DSE # 51	Reg-3.1/DSE-51/2010/393 Date: 29.03..2010
19	Shahidul Haque Sikder Securities Exchange Ltd. 158-160 Madhumita Building(Ground Floor) Room # 705, Dhaka-1000	Stock dealer	DSE # 221	Reg-3.1/DSE-221/2010/392 Date: 29.03..2010
20	Sohrab Securities & Trade Ltd. 9/G Motijheel C/A (5 th Floor) Dhaka- 1000.	Stock dealer	DSE # 225	Reg-3.1/DSE-225/2010/391 Date: 29.03..2010
21	Rapid Securities Ltd Ltd Room # 620-621,(6 th Floor) ,9/E Motijheel C/A Dhaka-1000.	Stock dealer	DSE # 42	Reg-3.1/DSE-42/2010/394 Date: 29.03..2010

Chittagong Stock Exchange Limited:

Sl. No.	Name & Address of the Stock dealer/ Stock broker	Category	Membership No.	Registration Certificate No. & Issue Date
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1	Azim Securities Ltd. CDA Building (5 th Floor) Shahid Sohrwardi Road . kotoali Chittagong	Stock broker and Stock dealer	CSE-23	Reg-3.2/CSE-57/2010/201 Date: 28.03.2010 & Reg-3.2/CSE-23/2010/200 Date: 28.03.2010
2	JH Ltd. Asma Chamber (5 th Floor) 1016 Ramjoy Mahajan Lane Khatun Gang Chittagang	Stock Dealer	CSE-28	Reg-3.2/CSE-28/2010/199 Date: 16.03.2010
3	First Lead Securities Ltd. Al Hamra Shopping City (5 th Floor) Zinda Bazar, Sylhet	Stock Dealer	CSE-122	Reg-3.2/CSE-122/2010/198 Date: 16.03.2010
4	AM Securities & Financial Services Ltd. 111 Bir Uttam CR Datta Road, Sonargaon , Dhaka 1205	Stock Dealer & Stock Broker	CSE-086	Reg-3.2/CSE-086/2010/196 Date: 24.02.2010 & Reg-3.2/CSE-086/2010/197 Date: 24.02.2010
5	Chittagong Capital Ltd. Crown Chamber , 325 Asadgang, Chittagong	Stock Dealer	CSE-006	Reg-3.2/CSE-006/2010/191 Date: 14.02.2010
6	Maharam Securities Ltd.23/A MM Ali Road Mehedibagh, Chittagong	Stock Dealer	CSE-108	Reg-3.2/CSE-108/2010/195 Date: 14.02.2010
7	Kabir Securities Ltd. Kashfia Plaza (1 st Floor) 923/A SK Mujib Road, Agrabad Chittagong	Stock Dealer	CSE-056	Reg-3.2/CSE-056/2010/192 Date: 14.02.2010
8	Eastern Shares & Securities Ltd. Delower Bhaban (3 rd Floor) 104 Agrabad , Chittagong	Stock Dealer	CSE-58	Reg-3.2/CSE-58/2010/193 Date: 14.02.2010
9	Mirpur Securities Ltd. MM Islam Plaza ,AB 1- 13 Kalawala Para , Mirpur-1 Dhaka-1216	Stock Dealer	CSE-50	Reg-3.2/CSE-50/2010/190 Date: 14.02.2010
10	ISPI Securities Ltd. Ispahani Building , SK Mujib Road, Agrabad Chittagong	Stock Dealer	CSE-002	Reg-3.2/CSE-002/2010/189 Date: 14.02.2010
11	Ten Stars Pvt. Ltd ,840/838 DT Road , Dhania Para, Chittagong	Stock Dealer	CSE-100	Reg-3.2/CSE-100/2010/194 Date: 14.02.2010

Moreover, the Commission renewed 89 (eighty nine) stock broker/stock dealer registration certificates of Dhaka Stock Exchange Ltd and 12 (twelve) Stock broker/Stock dealer registration certificates of Chittagong Stock Exchange Ltd respectively during the said period.

Branch Opening Permission to the Stock Brokers:

During the said Quarter, the Commission approved opening of 84 (eighty four) new branches of different Stock brokers of Dhaka Stock Exchange Limited. The Commission also approved opening of 36 (thirty six) new branches of different Stock brokers of Chittagong Stock Exchange Limited.

Issuance and Renewal of Authorized Representative Registration Certificates:

During the said period, the Commission issued 520 (five hundred and twenty two) authorized representatives registration certificates of Dhaka Stock Exchange Ltd and 26 (Twenty Six) authorized representatives registration certificates of Chittagong Stock Exchange Ltd under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০.

Issuance and Renewal of Depository Participant Registration Certificate:

During the Quarter, the Commission issued the following 08 (eight) depository participants registration certificates under ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩ and renewed 53(fifty-three) depository participant registration certificates.

DP NO	Name, Address & Contacts of the DP	Membership No.	Category	Certificate No. & Issue Date
1	EC Securities Ltd Nafi Tower (6 th Floor) Plot # 53 Gulshan South C/A , Gulshan -1,Dhaka-1215	-	Custody	SEC/Reg./CDBL-DP-301 Date: 19.01.2010
2	Popular Life Insurance Co. Ltd. Peoples Insurance Bhaban, 3 rd Floor, 36 Dilkusha C/A, Dhaka-1000	-	Full Service	SEC/Reg./CDBL-DP-302 Date: 27.01.2010
3	Mahram Securities Ltd. 23/A MM Ali Road Mehedibagh, Chittagong	CSE # 108	Full Service	SEC/Reg./CDBL-DP-304 Date: 08.02.2010

4	Swadesh Investment Management Ltd. Suit –1, Level –11, Unique Trade Centre, 08 Pantho path ,Karwan Bazar, Dhaka-1215	-	Custody	SEC/Reg./CDBL-DP-303 Date: 09.02.2010
5	BRAC EPL Investment Ltd. WW Tower (9 th Floor), 68 Motijheel C/A ,Dhaka-1000	-	Custody	SEC/Reg./CDBL-DP-305 Date: 16.02.2010
6	Kishwar Securities Uphar Centre, CDA, Plot # 10 , Agrabad , Chittagong	-	Full Service	SEC/Reg./CDBL-DP-306 Date: 28.02.2010
7	3A & Company Ltd Commercial Court (6 th Floor), 95 Agrabd C/A Chittagong-4100	-	Full Service	SEC/Reg./CDBL-DP-308 Date: 04.03.2010
8	ACE Capital Management & Services Ltd. Room # 611, DSE Building ,9/F Motijheel C/A Dhaka-1000.	-	Full Service	SEC/Reg./CDBL-DP-307 Date: 01.03.2010

Issuance of Registration Certificate for Merchant Banking Operation and approval for opening new branch office of Merchant Bank:

The Commission newly issued registration certificate to AB Investment Ltd, a subsidiary company of AB Bank Ltd on 10.03.2010 for merchant banking operation. The Commission approved the revival application for merchant banking operation in favor of First Security Services Ltd. During the quarter, the Commission accorded approval to open 3 (three) new branch offices of Trust Bank Limited, 3(Three) new branch offices of ICB Capital Management Limited, totaling 6 (Six) new branch offices for 2 (two) Merchant Banks to perform the merchant banking activities under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬.

Issuance of Asset Management Company Registration Certificate:

During the quarter the Commission has approved issuance of 1 (one) Asset Management Company Registration Certificate in favor of Asian Tiger Capital Partners Asset Management Company Ltd Under the সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড), বিধিমালা, ২০০১ on 23.03. 2010.

Issuance of Trustee Registration Certificate:

During the quarter the Commission has approved issuance of 1 (one) Trustee Registration Certificate in favor of Eastern Bank Limited on 23.03.2010 under the সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড), বিধিমালা, ২০০১

MUTUAL FUND & SPECIAL PURPOSE VEHICLE (MF & SPV)

During January-March, 2010, Mutual Fund and SPV Department performed the following activities:

- Completed the formalities relating to approval of prospectus and its abridged version, facilitated holding of IPO lottery and deployment of fund of IFIC Bank First Mutual Fund (Closed-end).
- Completed the formalities relating to approval of prospectus and abridged version of Phoenix Finance First Mutual Fund (Closed-end) and ICB AMCL Third NRB Mutual Fund (Closed-end).
- Completed the formalities for approval of Trust Deed and Investment Management Agreement of Green Delta Mutual Fund (Closed-end).
- Completed the formalities relating to approval of Trust Deed and Investment Management Agreement and registration of fund of First Janata Bank Mutual Fund (Closed-end).
- Completed the formalities relating to approval for deployment of fund of Prime Bank First ICB AMCL Mutual Fund (Closed-end) and holding of lottery.
- Completed the formalities of approval for holding of lottery and deployment of fund of DBH First Mutual Fund (Closed-end).
- Completed the formalities of approval for deployment of fund of ICB Employees Provident Mutual Fund One: Scheme One (Closed-end) and Trust Bank First Mutual Fund (Closed-end).
- Completed the formalities for approval of Standard Trust Deed for Single Scheme (closed-end) Mutual Fund and Multiple Schemes Mutual Fund (with the provision of both Open-end and Closed-end) and forwarded the same to the registered Asset Management Companies and advised them to prepare Trust Deed as per the approved Standard Trust Deeds.

List of Mutual Fund IPOs during January-March 2010:

SL	Name of the Mutual Fund	Year of Floatation	Fund Size (Tk in Crore)	IPO amount (Tk in Crore)	Sub.ag Public Portion (Tk in Crore)	Over Subscription Times	Date of of Subscription
1	IFIC Bank 1 st Mutual Fund	2010	120.00	40.00	703.49	17.59	07.02.2010 11.02.2010

2	Phoenix Finance 1 st Mutual Fund	2010	60.00	30.00	576.97	19.23	07.03.2010 11.03.2010
3	ICB AMCL Third NRB Mutual Fund	2010	100.00	80.00	565.10	7.06	28.03.2010 01.04.2010
	Total		280	150		14.63	

SUPERVISION AND REGULATION OF MARKETS AND ISSUER COMPANIES (SRMIC)

During January-March 2010, companies holding AGM are furnished in the table below including status of dividend:

Sl. No.	<i>Name of Company</i>	Date of AGM	Cash	Stock	Year End
	BANKS				
1	Brac Bank Ltd.	25-Mar-10	0%	30%	31-Dec-09
2	Dhaka Bank Ltd.	28-Mar-10	0%	25%	31-Dec-09
3	Dutch-Bangla Bank Ltd.	25-Mar-10	0%	33.33%	31-Dec-09
4	Eastern Bank Ltd.	30-Mar-10	20%	17%	31-Dec-09
5	Mercantile Bank Ltd.	29-Mar-10	0%	22%	31-Dec-09
6	Mutual Trust Bank Ltd.	30-Mar-10	0%	20%	31-Dec-09
7	National Bank Ltd.	25-Mar-10	0%	55%	31-Dec-09
8	Premier Bank Ltd.	23-Mar-10	0%	30%	31-Dec-09
9	Prime Bank Ltd.	25-Mar-10	10%	30%	31-Dec-09
10	Southeast Bank	29-Mar-10	0%	35%	31-Dec-09
	NBFI'S				
11	Lankabangla Finance Ltd.	30-Mar-10	15%	20%	31-Dec-09
12	United Leasing Co. Ltd.	25-Mar-10	7.50%	100%	31-Dec-09
	Engineering				
13	S. Alam Cold Rolled Steels Ltd	20-Mar-10	15%	0%	30-Sep-09
14	Anwar Galvanizing Limited	25-Mar-10	0%	0%	30-Jun-09
	Pharmaceuticals & Chemicals				
15	Marico Bangladesh Limited	19-Jan-10	25%	0%	30-Jun-09
	Food & Allied				
16	Beach Hatchery Ltd.	30-Mar-10	0%	12%	31-Dec-09
	Textile				
17	H.R.Textile Mills Limited	22-Mar-10	10%	0%	30-Sep-09
18	Maksons Spinning Mills Limited	20-Feb-10	0%	20%	30-Sep-09
	Fuel & Power				
19	Dhaka Electric Supply Co. Ltd.	6-Jan-10	25%	20%	30-Jun-09
20	Power Grid Company of Bd Ltd.	25-Jan-10	27%	0%	30-Jun-09

21	Eastern Lubricants Ltd.	30-Jan-10	25%	0%	30-Jun-09
22	Padma Oil Company Limited	6-Feb-10	5%	200%	30-Jun-09
	Services & Real Estate				
23	Samorita Hospital Limited	7-Jan-10	0%	15%	30-Jun-09
	Paper & Printing				
24	Sonali Paper & Baord Mills Ltd.	28-Feb-10	5%	0%	30-Jun-09
	Miscellaneous				
25	Savar Refractories Ltd.	28-Jan-10	5%	0%	30-Jun-09

During January-March 2010, complaints lodged with the Commission and measures taken by the Commission on the same are as follows:

COMPLAINT AGAINST LISTED COMPANIES:

Nature of Complaint	No. of Complaints	Under Process	Referred for Enforcement Action	Resolved
Non payment or delay in payment of dividend	4			4
Non payment of debenture installment				
Regarding transfer of shares	4			4
Non receipt of letter of rights share offering				
Non receipt of annual report				
Refund warrant	41	4		37
Non demat of shares				
Miscellaneous	11		3	8
Total	60	4	3	53

Supervision and Regulation of Intermediaries (SRI)

The functions of SRI Department are supervising activities of stock-dealers/stock brokers, depository participants, security custodian banks, merchant bankers, security lenders and borrowers and other market intermediaries and monitoring their performance. The department conducts inspection on stock brokers/dealers and DP's on monthly basis. Mentionable that this department has started its operation from May 2009. A summary of complaints lodged with the department and necessary actions taken by the department during January-March 2010 is shown in the table below:

Complaints against Stock Broker/Stock Dealer/Depository participant:

Nature of Complaints	No. of Complaints Received	Under Processes	Referred for Enforcement Action	Referred to DSE/CSE for	Resolved
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				Negotiation	
Lost of shares	1	-	-	1	
Unauthorized debit of share	1	1	-	-	
Non-transfer of share	-	-	-	-	
Non-payment of sales proceeds	-	-	-	-	
Financial loss due to non-transfer of share	-	-	-	-	
Delay in settlement	-	-	-	-	
Non-receipt of share	-	-	-	-	
Corruptions of Broker/Dealer	-	-	-	-	
Others	2	-	-	1	1
Total	4	1	-	2	1

Inspection of Stock Exchange:

Nature of Inspection	Stock Exchange	Present Status	Remarks
Inspection for the year 2010	Dhaka Stock Exchange Ltd.	Inspection is completed. Report is under process.	
Inspection for the year 2010	Chittagong Stock Exchange Ltd.	Report submitted and file is under process	

Inspection of Stock Broker/Stock Dealer/Depository Participants/ Merchant Banks:

Nature of Inspection	Broker/Dealer/DP/ Merchant Bank under Inspection	Send to DSE/CSE for regularization	Referred to Enforcement for action	Remarks
Special/Surprised Inspection in January, 2010	BRAC EPL Stock Brokerage Ltd. (DSE Member no. 11)	-	-	Policy decision already taken
Special/Surprised Inspection in February, 2010	Prime Bank Limited Merchant Bank	-	-	No action was required
Special/Surprised	PFI Securities			

Inspection in February, 2010	Limited (DSE Member no. 79)	-	√	
Special/Surprised Inspection in February, 2010	Al-Arafah Islami Bank Ltd. (DSE Member no. 234)	-	-	No action was required
Special/Surprised Inspection in February, 2010	The City Bank Limited (DSE Member no. 145)	-	-	No action was required
Monthly regular Inspection for the Month of January 2010	Arafat Securities Limited (DSE Member no.11)	-	√	
Monthly regular Inspection for the Month of January 2010	Equity Resources Limited (DSE Member no. 30)	√	-	
Monthly regular Inspection for the Month of January 2010	Moharam Securities Limited (CSE Member no. 8)	√	-	
Monthly regular Inspection for the Month of January 2010	South Asia Securities Limited (CSE Member no. 4)	√	-	

Nature of Inspection	Broker/Dealer/DP/ Merchant Bank under Inspection	Send to DSE/CSE for regularization	Referred to Enforcement for action	Remarks
Monthly regular Inspection for the Month of February 2010	Bank Asia Ltd. (DSE Member no. 237)	-	-	Report under process
Monthly regular Inspection for the Month of February 2010	ACE Capital Management Service Ltd.	-	-	Report under process

	(DSE Member no. 16)			
Monthly regular Inspection for the Month of February 2010	Eastern Shares & Securities Ltd. (CSE Member no. 58)	-	-	Report under process
Monthly regular Inspection for the Month of February 2010	Abacus Securities Ltd. (CSE Member no. 58)	-	-	Report under process
Monthly regular Inspection for the Month of March 2010	Mian Abdur Rashid Securities Ltd. (DSE Member no. 53)	-	-	Report yet to be submitted
Monthly regular Inspection for the Month of March 2010	Prudential Securities Limited (DSE Member no. 73)	-	-	Report yet to be submitted
Monthly regular Inspection for the Month of March 2010	S.R. Capital Limited (CSE Member no. 38)	-	-	Report yet to be submitted
Monthly regular Inspection for the Month of March 2010	Sylhet Metro City Securities Ltd. (CSE Member no. 25)	-	-	Report yet to be submitted

CENTRAL DEPOSITORY

During January March-2010 quarter the following companies joined the central depository system under Central Depository Bangladesh Limited (CDBL). At the end of March 2010, the total number of companies under CDBL was 20(twenty).

Sl. No.	Name of the Company	Joining Date
01	ICB Employees Provident Fund (IPO)	18.01.2010
02	Provati Insurance Co. Ltd (IPO)	18.01.2010
03	Trust Bank First Mutual Fund (IPO)	27.01.2010

04	Sino Bangla Industries Ltd	28.01.2010
05	Fine Foods Ltd	28.01.2010
06	Jemini Sea food Ltd	28.01.2010
07	Pharma Aids Ltd	28.01.2010
08	Prime Bank First Mutual Fund (IPO)	02.02.2010
09	DBH 1 st Mutual Find (IPO)	07.02.2010
10	Dhaka Insurance Co. Ltd. (IPO)	18.02.2010
11	Delta Spinners Limited	25.02.2010
12	Shaiham Textiles Limited	25.02.2010
13	National Tea Company Limited	25.02.2010
14	Ocean Containers Limited (Direct Listing)	04.03.2010
15	ACI 20% Convertible Zero Cupon Bond (IPO)	22.03.2010
16	Meghna Condensed Mild Industries Ltd.	31.03.2010
17	Eastern Lubricant Blenders Limited	31.03.2010
18	IFIC Bank 1 st Mutual Fund (IPO)	29.03.2010
19	R.N. Spinning Mills Ltd. (IPO)	01.02.2010
20	Golden Son Limited (RPO)	10.01.2010

SEC ENFORCEMENT ACTIONS

During January to March 2010 the following enforcement actions against Issuer Companies, Chartered Accountants, Stock-Brokers/Stock-Dealers, Authorized Representative, Merchant Bankers and Others were taken by the Commission for non-compliance of the securities related laws:

Against Issuer Company:

Violation	No. of Issuer Companies	Nature of Action
Failure to submit the audited financial statements	7	Warning
Failure to comply with securities related laws regarding audited financial statements	12	Warning
Non-compliance of securities law regarding audited financial statements	6	Penalty
Failure to comply with securities related laws	7	Warning
Non-compliance of Directive/Notification/Order	12	Penalty

Failure to submit the audited financial statements	13	Penalty
Non-compliance of Directive/Notification/Order	3	Warning

Against Chartered Accountant Firm:

Violation	No. of Chartered Accountant Firm:	Nature of Action
Failure to comply with securities related laws regarding audited financial statements of the issuers.	2	Warning

Against Stock Broker/ Stock Dealer:

Violation	No. of Stock Broker/Stock-Dealer	Nature of Action
Non-compliance with securities related laws	29	Warning
Non-compliance with securities related laws	2	Penalty

Against Authorized Representative (AR):

Violation	No. of AR	Nature of Action
Non-compliance with securities related laws	1	Penalty

Against Depository Participants (DP):

Violation	No. of DP	Nature of Action
-		

Against Merchant Banker:

Violation	No. of Merchant Banker	Nature of Action
-		

Against Others:

Violation	No.	Nature of Action
Non-compliance with securities related laws in connection with	2	Warning

trading of shares of Rahim Textile Mills Ltd.		
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LAW

A total of 285 cases filed by or against SEC are pending in different courts. Name of the courts are given below along with number of pending cases with each:

Sl. No.	Name of the Court	Number of Cases	
01.	Supreme Court of Bangladesh	Appellate Division	08
		High Court Division	104
02.	Metropolitan Session Judge Court, Dhaka.		06
03.	1 st Asst. Metropolitan Session Judge Court, Dhaka		01
04.	4 th joint district judge Court, Dhaka		01
05.	5 th Joint Dist. Judge Court, Dhaka.		08
06.	4 th Asst. Judge Court, Dhaka.		01
08.	9 th Assistant Judge (Savar) court, Dhaka		01
09.	Chief Metropolitan Magistrate Court, Dhaka.		09
10.	General Certificate Court, Dhaka.		146
Total Cases :			285

Out of total 15 share scam cases of 1996, 3 cases are now pending before the Appellate Division, 8 cases before the High Court Division of Bangladesh Supreme Court and rest 4 cases are pending before the Metropolitan Session Judge Court, Dhaka.

10 (ten) certificate cases were filed against the directors of relevant 10 companies in order to recover the penalty imposed by the Commission for violation of securities laws during the period January–March, 2010 under Public Demands Recovery Act, 1913.

MIS

MIS Department is responsible to assist different departments of SEC through development of automation in their activities, development of capital market monitoring system based on computerized data analysis, and informing all about securities laws and other related matters through website.

At present all officers of SEC use computers in their daily activities. All computers are connected through local area network (LAN). Different modules of integrated Securities and Exchange Commission Automated System (SECAS) have been developed at SEC for use of its different departments. Officers of SEC can use broadband internet connection from their workstation through connected LAN. SEC's website (www.secibd.org) is enriched with various information like securities laws, IPO prospectus, eligible securities, depository participants, enforcement actions, reports etc, which are updated regularly and many users visit the website.

MIS department works on development, modification and maintenance of the mentioned systems.

During January to March 2010 - Important Web Uploads and Website Visits:

Works	Number
IPO Prospectus/ Right offer document Upload	4
Enforcement Actions Upload	114
Other Order/Notification/Directive etc Upload	26
Eligible Securities/DP List etc Update	25
Quarterly Report Upload	1
Annual Report Upload	1
Proposed Draft Rule Upload for Comments	-
No. of Website Visitors(As Per Web Counter)	36,000(approx)

RESEARCH AND DEVELOPMENT

Research and Development Department of the Commission conducts investors' education program for the general investors, impart capital market related seminar for various government and non-government organizations, prepares and publishes Commission's various publications regularly, furnishes various reports and information to the government and other institutions including Ministry of Finance and different regulatory authorities, and makes paper clipping from most of the daily newspapers (20 dailies) and circulate it to the Commission and executives. The department also deals the training program of the authorized representatives jointly with the stock exchanges.

During January to March 2010 Research and Development Department has carried out regular activities as mentioned in the table below:

January to March 2010

S/N	Name of Work	Quantity
1	Training Program for General Investors (Two-days program)	6 programs 187 participants
2	Training for Authorized Representatives (Two-days program)	DSE- 8 programs 560 participants CSE- 4 programs 335 participants Total- 11 programs Total- 895 participants
3	Annual Report 2008-2009 (Bangla) Publication	1
4	Quarterly Report	1
5	Parikrama	1
6	Monthly Work Plan to MOF	3
7	Monthly Progress Report to MOF	3

8	Quarterly Manpower Report to MOF	1 Quarterly,
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আইনগত বিধি-বিধান ও কার্যক্রম

জানুয়ারী-মার্চ, ২০১০ সময়ে পুঁজিবাজার সংশ্লিষ্ট নিম্নোক্ত সংশোধনী/আদেশ/নির্দেশনা জারী করা হয়েছেঃ

ক্রমিক নং	সূত্র নং এবং তারিখ	বিষয়	শ্রেণীবিভাগ
১	SEC/CMRRCD/ 2009-193/09/Admin/21 <i>Dated: January 17, 2010</i>	Make available the detailed quarterly financial statements in their website.	DIRECTIVE
২	নং-এসইসি/সিএমআরআরসিডি/২০০৬-১৫৭/১০/প্র:/২০ জানুয়ারি ২৪, ২০১০	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১ এর বিধি ৮১ সহ পঠিত, তে প্রদত্ত ক্ষমতাবলে মেয়াদী মিউচুয়াল ফান্ডের মেয়াদ নির্দিষ্টকরণ আদেশ প্রদান।	Av#`k
৩	SEC/CMRRCD/2009-193/11 January 31, 2010	Both the stock exchanges shall develop uniform software for their members.	Directive
৪	No. SEC/CMRRCD/2001-43/12 February 01, 2010	Credit facilities shall be on 1:1.5 basis under the Margin Rules, 1999.	Directive
৫	No. SEC/CMRRCD/2001-43/13 February 01, 2010	Margin loan or credit facilities to their clients to purchase the equity securities with price-earning ratio of above 50 (fifty) until further order	Directive
৬	No. SEC/CMRRCD/2001-43/14 February 01, 2010	The stock exchanges shall not entertain the equity securities with price-earning ratio of above 50 and the stock brokers shall not provide loan facilities to their client to purchase the said securities until further order.	Directive
৭	No. SEC/CMRRCD/2001-43/15	Credit facilities shall be on 1:1	Directive

	February 03, 2010	basis) under the Margin Rules, 1999.	
৮	নং এসইসি/সিএমআরআরসি/২০০১-৪৩/১৬ তারিখঃ ৩ ফেব্রুয়ারী ২০১০ইং	সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬ এর বিধি ৩৬ মোতাবেক তফসিলে উল্লিখিত মার্জিন ঋণ প্রদান সংক্রান্ত নির্দেশনা নং ৬ (১) এ প্রদত্ত ক্ষমতাবলে এতদ্বারা মার্জিন ঋণ এর সর্বোচ্চ হার ১ : ১।	Av+`k
৯	No. SEC/CMRRCD/2001-43/17 Dated: February 08, 2010	No person shall be allowed to buy share of Grameen phone Ltd. against value of sale of other securities (i.e. by using Fund in Transit) within the existing settlement and clearance period.	Directive
১০	No. SEC/CMRRCD/2009-193/18/Admin/38 Dated: February 09, 2010	Pay off the dividend.	Notification
১১	No. SEC/CMRRCD/2009-193/19/Admin/39 Dated: February 09, 2010	Payment of refund money.	Notification
১২	No. SEC/CMRRCD/2009-193/20 /Admin-22 February 10, 2010	Insert new sub- regulations (16) and (17) after existing sub-regulation (15) of the regulation 36(A) in the Listing Regulation of the Chittagong Stock Exchange Ltd.,	Directive
১৩	No. SEC/CMRRCD/2009-193/21 Dated: February 14, 2010	The member intending to contest in the election of directors must obtain clearance / no-objection in writing from the Securities and Exchange Commission before filing nomination. For the purpose, the intending member will have to submit a Letter of Intent (LOI) to the Commission through the relevant stock exchange before 5 (five) working days of the date scheduled for filing nomination.	Directive
১৪	No. SEC/CMRRCD/2009-193/22	Place the shares of Grameenphone Ltd. and Marico	Directive

	Dated: February 18, 2010	Bangladesh Ltd. under Spot Market for trading, with relevant settlement and clearance regulations applicable for the trades in the Spot Market.	
১৫	March 01, 2010 SEC/CMRRCD/2010-201/23	কোম্পানির শেয়ারের অভিহিত মূল্য ও মার্কেট লট পরিবর্তন	বিজ্ঞপ্তি
১৬	March 04, 2010 SEC/CMRRCD/2009-193/24	BO account maintenance fee for 06(January-June2010) month is Tk. 150/.	আদেশ
১৭	March 07, 2010 SEC/CMRRCD/2009-193/25	Regarding margin loan to purchase units of Mutual funds.	Directive
১৮	March 11, 2010 SEC/CMRRCD/2006-159/27/Admin/03-40	Terms regarding public offer of shares.	Notification
১৯	মার্চ ১১, ২০১০ এসইসি/সিএমআরআরসিডি/২০০৬-১৫৭/প্রশাসন/০১-৪২	মিউচুয়াল ফান্ড এর প্রাইভেট পেসমেন্টে ০১ বছরের জন্য লক -ইন	প্রজ্ঞাপন
২০	March 15, 2010 SEC/CMRRCD/2009-193/28	Regarding Notice period of Book –closure and Record date	Directive
২১	March 15, 2010 SEC/CMRRCD/2001-43/29	Ratio of clients deposit to credit facilities .	Directive
২২	মার্চ ১৫, ২০১০ এসইসি/সিএমআরআরসিডি/২০০১-৪৩/৩০	গ্রাহকের জমা ও মার্জিন লোন অনুপাত সংক্রান্ত	আদেশ
২৩	March 23, 2010 SEC/CMRRCD/2001-43/31/ Admin/41	Regarding calculation of market price of Securities/ Portfolio	Directive
২৪	মার্চ ২৩, ২০১০ এসইসি/সিএমআরআরসিডি/২০০১-২৬/৩২/প্রশাসন/০১-৪৩	ইনসাইডার ট্রেডিং সম্পর্কিত	প্রজ্ঞাপন

DIRECTIVE

It has been observed that some of the listed companies are publishing in the newspapers the quarterly financial statements in very concise form without showing details of some vital components of the financial statements, such as, detail sources of income, which are crucial for the investors to make knowledgeable investment decisions avoiding confusion. In view of the above, the Securities and Exchange Commission is hereby directing the listed companies under section 20A of the Securities and Exchange Ordinance, 1969 also to make available the detailed quarterly financial statements in their website and to include the following para in bold letters at the end of the quarterly financial statements published in the newspapers:

“The details of the published quarterly financial statements can be available in the web-site of the company. The address of the web-site is.....”.

By Order of the Securities and Exchange Commission

(Mrs. Ruksana Chowdhury)
Executive Director

Copy to:

1. Chief Executive Officer, DSE
2. Chief Executive Officer, CSE
3. President, BAPLC
4. All Departmental heads, SEC
5. P.O.s to Members, SEC
6. Chairman’s Office, SEC

নং-এসইসি/সিএমআরআরসিডি/২০০৬-১৫৭/১০

জানুয়ারি ২৪, ২০১০

আদেশ

যেহেতু, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন এই মর্মে সিদ্ধান্ত গ্রহণ করিয়াছে যে, পুঁজিবাজারের অব্যাহত উন্নয়ন এবং জনস্বার্থে ইস্যুকৃত সকল মেয়াদী মিউচুয়াল ফান্ড (Closed-end Mutual Fund) সমূহের মেয়াদ নির্দিষ্টকরণ প্রয়োজন; অতএব, সেহেতু, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, Securities and Exchange Ordinance, 1969 (XVII of 1969) এর section 20A, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১ এর বিধি ৮১ সহ পঠিত, তে প্রদত্ত ক্ষমতাবলে মেয়াদী মিউচুয়াল ফান্ডের ক্ষেত্রে এতদ্বারা কমিশন নিরূপ আদেশ প্রদান করিল, যথাঃ- (ক) মেয়াদী মিউচুয়াল ফান্ড এর সর্বমোট মেয়াদ ১০ (দশ) বছরের বেশী হইবে না। (খ) যেই সকল মেয়াদী মিউচুয়াল ফান্ড এর অনির্ধারিত মেয়াদ ইতোমধ্যে ১০ (দশ) বছর অতিক্রম করিয়াছে সেই সকল মেয়াদী মিউচুয়াল ফান্ড ৩১শে ডিসেম্বর ২০১১ এর মধ্যে অবলুপ্ত করিতে হইবে। এই আদেশ অবিলম্বে কার্যকর হইবে।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন এর আদেশক্রমে

SEC/CMRRCD/2009-193/11

January 31, 2010

DIRECTIVE

In exercise of the power conferred by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), the Securities and Exchange Commission hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. that;- Both the stock exchanges shall develop uniform software for their members incorporating all necessary information, including information on financial adjustment (i.e. netting), to cater the regular requirements of the Commission and the stock exchanges, or on demand. The software should be in operation on or before 30th April 2010. Both the stock exchanges shall be submitting the requisite information on financial adjustment (i.e. netting) and loan amount provided by the members of the stock exchanges to the Commission on monthly basis within 7th day in the following month in round figure, with percentage, until 30th April 2010. In the relevant information report on financial adjustment (i.e. netting), the term “Fund in Transit” shall be used in place of the term “Immatured Fund”.

By Order of the Securities and Exchange Commission
(Mrs. Ruksana Chowdhury)
Executive Director

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.
3. Central Depository Bangladesh Ltd

Copy to:

1. All Members, SEC
2. All Departmental heads, SEC
3. Chairman’s Office, SEC

No. SEC/CMRRCD/2001-43/12

February 01, 2010

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital markets, considers it to be appropriate that the credit facilities extended by the members of stock exchanges under the Margin Rules, 1999 should be rationalized; Now, therefore, the Commission in exercise of its power conferred by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. and their members to the effect that, the members of the stock exchanges shall not extend credit facilities to their approved clients beyond 1.5 times of the client’s margin/client’s deposit (i.e. credit facilities shall be on 1:1.5 basis) under the Margin Rules, 1999.

This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

Distribution:

1. Dhaka Stock Exchange Ltd.

2. Chittagong Stock Exchange Ltd.
3. Central Depository Bangladesh Ltd.

No. SEC/CMRRCD/2001-43/13

February 01, 2010

DIRECTIVE

The Securities and Exchange Commission, in exercise of the power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969), read with নির্দেশনা নং-১৪ ড়ভ বিধি-৩৬ ড়ভ সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬, hereby directs the merchant bankers, including the portfolio managers, registered under the সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬, to immediately stop providing or disbursing of any further margin loan or credit facilities to their clients to purchase the equity securities with price-earning ratio of above 50 (fifty) until further order. This Directive shall Repeal the Directive No SEC/CMRRCD/2001-43/04 dated December 09, 2009.

This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman

No. SEC/CMRRCD/2001-43/14

February 01, 2010

DIRECTIVE

In exercise of the power conferred by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), the Securities and Exchange Commission hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. that;- The stock exchanges shall not entertain the equity securities with price-earning ratio of above 50 (fifty) as “marginable securities” under clause (d) of sub-rule (12) of rule 3 of the Margin Rules, 1999 and the stock brokers shall not provide loan facilities to their client to purchase the said securities until further order. This Directive shall Repeal the Directive No SEC/CMRRCD/2001-43/03 dated December 09, 2009.

This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital market, considers it to be appropriate that the proportion of credit facilities extended by the members of stock exchanges under the Margin Rules, 1999 should be refixed; Now, therefore, the Commission in exercise of its power conferred by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. and their members to the effect that, the members of the stock exchanges shall not extend credit facilities to their approved clients beyond 1(One) time of client's margin/ client's deposit (i.e credit facilities shall be on 1:1 basis) under the Margin Rules, 1999.

This shall have effect from 7th February, 2010.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

নং এসইসি/সিএমআরআরসি/২০০১-৪৩/১৬

তারিখঃ ৩ ফেব্রুয়ারী ২০১০ইং

আদেশ

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬ এর বিধি ৩৬ মোতাবেক তফসিলে উল্লিখিত মার্জিন ঋণ প্রদান সংক্রান্ত নির্দেশনা নং ৬ (১) এ প্রদত্ত ক্ষমতাবলে এতদ্বারা মার্জিন ঋণ এর সর্বোচ্চ হার ১ : ১ (এক অনুপাত এক) পুনঃ নির্ধারণ করিল যাহার ভিত্তিতে মার্চেন্ট ব্যাংকার (পোর্টফোলিও ম্যানেজার) উহার মক্কেল তথা গ্রাহককে ৭ই ফেব্রুয়ারী ২০১০ ইং হইতে, পরবর্তী নির্দেশ না দেওয়া পর্যন্ত, বিতরণযোগ্য মার্জিন ঋণ প্রদান করিতে পারিবে। উল্লেখ্য, এতদউদ্দেশ্যে প্রযোজ্য পোর্টফোলিও/সিকিউরিটির বাজারমূল্য নির্ধারণের ক্ষেত্রে নির্দেশনা নং ৭ অনুসরণ নিশ্চিত করিতে হইবে।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশনের পক্ষে

মোঃ জিয়াউল হক খোন্দকার
চেয়ারম্যান।

বিতরণ :

সকল মার্চেন্ট ব্যাংকার

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of capital market and the investors, deems it appropriate that the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. should discontinue, until further order, the financial adjustment facilities (i.e. netting facilities) provided by the stock-brokers/dealers vis-a-vis the stock exchanges in respect of certain listed security; Now, therefore, the Securities and Exchange Commission, in exercise of power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd to discontinue, until further order, the financial adjustment facilities (i.e. netting facilities) provided by the stock brokers to their customers vis-a-vis by the stock exchanges to their stock brokers and stock dealers in respect of trading in share of Grameenphone Ltd. This means no person shall be allowed to buy share of Grameenphone Ltd. against value of sale of other securities (i.e. by using Fund in Transit) within the existing settlement and clearance period. This Directive shall become effective from the trading day of 10th February 2010.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.
3. Central Depository Bangladesh Ltd.
4. Bangladesh Association of Publicly Listed Companies (BAPLC)

NOTIFICATION

February 9, 2010

No. SEC/CMRRCD/2009-193/18 /Admin/.....: Whereas, the Securities and Exchange Commission deems it fit that, in the interest of investors and the capital market, certain amendment should be made in the Commission's Notification No.SEC/CMRRCD/2009-193/Admin/03-31 dated June 01,2009, published in the Bangladesh Gazette on June 29,2009, issued in respect of the consent already accorded by the Commission, or to be accorded by it in future, to the issue of capital in angladesh, or to the public offer of securities for sale; Now, therefore, in exercise of the power conferred by section 2CC of the Securities and Exchange Ordinance, 1969 (XVII of 1969), the Securities and Exchange Commission, by replacing

condition 3 of the above Notification, hereby imposes the following further conditions to the consent already accorded by it, or to be accorded by it in future, to the issue of capital in Bangladesh, or to the public offer of securities for sale, namely: - “3. The issuer shall, within 30(thirty) days of declaration or approval, as the case may be, based on the written option collected from the shareholder concerned, pay off the dividend, - a) through transfer of cash dividend to the bank of the shareholder for depositing into the shareholder’s account: Provided that, the shareholder will bear the applicable service charge, if any, of the shareholder’s banker, and also that the issuer shall simultaneously issue a letter of intimation to the shareholder containing, among others, the amount of tax deducted at source, if any, the date and amount remitted with details of the bank through and to which bank such remittance has been effected; OR through issuance of cash dividend warrant in the name and address of the shareholder concerned as provided in the beneficial owner’s (BO) account set up in case of dematerialized share, or as provided by the shareholder in case of paper share; b) through transfer of the stock dividend into the beneficial owner’s (BO) account of the shareholder in case of dematerialized share, or through issuance and delivery of the share certificate to the shareholder in case of paper share.” This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

NOTIFICATION

February 9, 2010

No. SEC/CMRRCD/2009-193/19/Admin/.....:Whereas, the Securities and Exchange Commission deems it fit that the consent already accorded by the Commission, or to be accorded by it in future, to the issue of capital in Bangladesh, or to the public offer of securities for sale, should be subject to certain further conditions in the interest of investors and the capital market; Now, therefore, in exercise of the power conferred by section 2CC of the Securities and Exchange Ordinance, 1969 (XVII of 1969), the Securities and Exchange Commission hereby imposes the following further conditions to the consent already accorded by it, or to be accorded by it in future, to the issue of capital in Bangladesh, or to the public offer of securities for sale, namely: - The issuer shall refund application money to the unsuccessful applicant of the public offer by any of the following manner based on the option given by the applicant in the application form;- (a) through banking channel for onward deposit of the refund money into the applicant’s bank account as provided in the respective application form for subscription; or (b) through issuance of refund warrant in the name and address of the applicant as provided in the respective application form for subscription: Provided that, in case of deposit into the applicant’s bank account, the applicant will bear the applicable service charge, if any, of the applicant’s banker, and the issuer shall simultaneously issue a letter of intimation to the applicant containing, among others, the date and amount remitted with details of the bank through and to which bank such remittance has been effected. This supersedes the Commission’s previous Notification No. SEC/CMRRCD/2008-183/Admin/03-35 dated October 01,2009,and shall have immediate effect.

By order of the Securities and Exchange Commission.

Md. Ziaul Haque Khondker

Chairman.

No. SEC/CMRRCD/2009-193/20

February 10, 2010

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital market, deems it appropriate to incorporate certain further provision in the Listing Regulation of the Chittagong Stock Exchange Ltd.in respect of the financial statements of the listed issuer companies; Now,therefore,the Commission in exercise of its power conferred by section 34(4)of the Securities and Exchange Ordinance,1969(XVII of 1969),hereby directs the Chittagong Stock Exchange Ltd.to insert the following new sub- regulations (16) and (17) after existing sub-regulation (15) of the regulation 36(A) in the Listing Regulation of the Chittagong Stock Exchange Ltd.,namely;- “(16) The issuer shall have website where latest financial statements including balance sheet, income statement and cash flow statements (annual and interim) should be displayed. This website should be linked with CSE website. (17) The issuer shall update its website relating to annual and interim financial statements and all other price sensitive information within stipulated time.”. This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

No. SEC/CMRRCD/2009-193/21

February 14, 2010

DIRECTIVE

Whereas, the Securities and Exchange Commission is satisfied and deems it fit that in the interest of investors, securities market and for sustained development of securities and capital markets of Bangladesh, certain matters relating to the election in the board of directors of the stock exchanges should be regulated; Now, therefore, the Securities and Exchange Commission, in exercise of power conferred on it by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. to ensure the following with regard to election of directors of the respective Board of Directors of the said stock exchanges, namely;- The member intending to contest in the election of directors must obtain clearance / no-objection in writing from the Securities and Exchange Commission before filing nomination. For the purpose, the intending member will have to submit a Letter of Intent (LOI) to the Commission through the relevant stock exchange before 5 (five) working days of the date scheduled for filing nomination.

This will have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.
No. SEC/CMRRCD/2009-193/22

February 18, 2010

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the context of the prevailing situation in the capital market of Bangladesh, considers it to be appropriate that trading in certain listed securities should now be regulated in the interest of the security investors and the securities and capital markets; Now, therefore, the Securities and Exchange Commission, in exercise of power conferred in it under section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. to place the shares of Grameenphone Ltd. and Marico Bangladesh Ltd. under Spot Market for trading, with relevant settlement and clearance regulations applicable for the trades in the Spot Market. This Directive shall be effective from the trading day of 22nd February 2010, and will continue until further Directive.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.
3. Central Depository Bangladesh Ltd.

নং-এসইসি/সিএমআরআরসিডি/২০১০-২০১/২৩

মার্চ ০১, ২০১০

বিজ্ঞপ্তি

বিষয়ঃ তালিকাভুক্ত কোম্পানিসমূহের শেয়ারের অভিহিত মূল্য ও মার্কেট লট পরিবর্তন প্রসঙ্গে। পুঁজিবাজারকে অধিকতর স্বচ্ছ, দক্ষ ও গতিশীল করার লক্ষ্যে গত ০৫ নভেম্বর ২০০৯ ইং তারিখে অর্থ মন্ত্রণালয়ে মাননীয় অর্থ মন্ত্রী মহোদয়ের সভাপতিত্বে অনুষ্ঠিত সভায়, অন্যান্যের মধ্যে, তালিকাভুক্ত কোম্পানিসমূহের শেয়ারের অভিহিত মূল্য ও মার্কেট লটের প্রস্তুতকৃত পরিবর্তনের বিষয়ে নিম্নোক্ত সিদ্ধান্ত গৃহীত হয়ঃ “ভবিষ্যতে সব কোম্পানির শেয়ারের জন্য ন্যূনতম অভিহিত মূল্য প্রমিতকরণ (Standarize) করতে হবে। যেহেতু বেশীরভাগ কোম্পানির শেয়ার মূল্য একশত টাকা সেহেতু ভবিষ্যতে শেয়ারের অভিহিত মূল্য একশত টাকা নির্ধারণ করা শ্রেয়তর হবে। তবে বিদ্যমান কোম্পানিসমূহের শেয়ারের অভিহিত মূল্য অপরিবর্তিত থাকবে। ভবিষ্যতে সব কোম্পানির শেয়ারের একক লট সংখ্যা একই হওয়া বাঞ্ছনীয়।” উল্লিখিত সিদ্ধান্ত বাস্তবায়নের নিমিত্ত সকল তালিকাভুক্ত কোম্পানিকে তাদের এতদসংক্রান্ত বিদ্যমান অবস্থা পরিবর্তনের ব্যাপারে, অর্থাৎ শেয়ারের বিদ্যমান অভিহিত মূল্য পরিবর্তনের জন্য, কোন পদক্ষেপ নেয়া থেকে বিরত থাকার জন্য পরামর্শ প্রদান করা হ'ল।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশনের পক্ষে,
রুকসানা চৌধুরী, নির্বাহী পরিচালক

বিতরণ (প্রয়োজনীয় ব্যবস্থা গ্রহণের জন্য):

- ১। প্রধান নির্বাহী কর্মকর্তা, ঢাকা স্টক এক্সচেঞ্জ লিমিটেড
- ২। প্রধান নির্বাহী কর্মকর্তা, চট্টগ্রাম স্টক এক্সচেঞ্জ লিমিটেড
- ৩। প্রেসিডেন্ট, বাংলাদেশ এসোসিয়েশন অব পাবলিকলি লিস্টেড কোম্পানিজ
- ৪। ব্যবস্থাপনা পরিচালক, সেন্ট্রাল ডিপজিটরি বাংলাদেশ লিমিটেড

অনুলিপি (সদয় অবগতির জন্য):

- ১। সকল বিভাগীয় প্রধান, এসইসি
 - ২। চেয়ারম্যান মহোদয়ের দপ্তর, এসইসি
- নং-এসইসি/সিএমআরআরসিডি/২০০৯-১৯৩/২৪

মার্চ ০৪, ২০১০

আদেশ

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, ডিপজিটরি আইন, ১৯৯৯ এর ধারা ১৪ এর উপ-ধারা (১) এ প্রদত্ত ক্ষমতাবলে এতদ্বারা নিরূপণ আদেশ প্রদান করিল, যথাঃ- ১ জানুয়ারী ২০০৯ ইং এবং তার পরবর্তীতে খোলা বিও (beneficial owner) একাউন্টের ব্যাপারেও ১ জানুয়ারী ২০০৯ ইং এর পূর্বে খোলা একাউন্টের মতোই ৬ (ছয়) মাসের জন্য (অর্থাৎ, জানুয়ারী-জুন ২০১০ ইং) টাকা ১৫০.০০(একশত পঞ্চাশ টাকা) হারে সেন্ট্রাল ডিপজিটরি বাংলাদেশ লিমিটেড বিও একাউন্টহোল্ডারগণের নিকট হইতে রক্ষনাবেক্ষন ফি (maintenance fee) আদায় করিবে। সেন্ট্রাল ডিপজিটরি বাংলাদেশ লিমিটেড উক্ত ফি আদায়পূর্বক সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন এর এ সংক্রান্ত প্রাপ্য ফি, আদায়ের ১ (এক) মাসের মধ্যে, কমিশনে জমা করিবে।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশনের আদেশক্রমে,

রক্ষনাবেক্ষন চৌধুরী
নির্বাহী পরিচালক

বিতরণঃ

- ১। ব্যবস্থাপনা পরিচালক, সেন্ট্রাল ডিপজিটরি বাংলাদেশ লিমিটেড
- ২। প্রধান নির্বাহী কর্মকর্তা, ঢাকা স্টক এক্সচেঞ্জ লিমিটেড
- ৩। প্রধান নির্বাহী কর্মকর্তা, চট্টগ্রাম স্টক এক্সচেঞ্জ লিমিটেড

অনুলিপি (সদয় অবগতির জন্য)ঃ

- ১। সকল সদস্য, এসইসি
- ২। সকল বিভাগীয় প্রধান, এসইসি
- ৩। চেয়ারম্যান মহোদয়ের দপ্তর, এসইসি

(প্রয়োজনীয় ব্যবস্থা গ্রহণের জন্য)

ডিপজিটরি অংশগ্রহণকারী (ডিপি)গণকে এই মর্মে নির্দেশনা প্রদান করিবে যে, ডিপিগণ তাহাদের বাৎসরিক ফি পঞ্জিকা বৎসর (জানুয়ারি-ডিসেম্বর) এর পরিবর্তে আর্থিক বৎসর (জুলাই-জুন) এর ভিত্তিতে পরিশোধ করিবে।

No. SEC/CMRRC/2009-193/25

March 07, 2010

DIRECTIVE

In reference to the Commission's Directive No. SEC/CMRRC/2001-43/05 dated December 17, 2009, the Securities and Exchange Commission hereby further directs the stock brokers of Dhaka Stock Exchange Limited and Chittagong Stock Exchange Limited under section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969) that the limit of 7.5% that was fixed earlier shall now be read as 15% (fifteen percent). This shall be effective from 8th March, 2010. By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

Distribution:

Dhaka Stock Exchange Limited
Chittagong Stock Exchange Ltd.

Copy to:

1. All Department Heads, SEC
2. Chairman's Office, SEC

NOTIFICATION

March, 11, 2010

No. SEC/CMRRCD/2006-159/27/Admin/03-40.- Whereas, the Securities and Exchange Commission (hereinafter referred to as "the Commission") deems it fit that the consent already accorded by the Commission, or deemed to have been accorded by it, or to be accorded by it in future, to the issue of capital, should be subject to certain further conditions in the interest of investors and the capital market; Now, therefore, in exercise of the power conferred by section 2CC of the Securities and Exchange Ordinance, 1969 (XII of 1969), and in consultation with the Government, the Securities and Exchange Commission hereby imposes the following further conditions to the consent to the issue of capital already accorded by it, or deemed to have been accorded by it, or to be accorded by it in future, namely: - (a) Minimum paid-up capital (existing + proposed) requirement for public issue (i.e. IPO) shall be Tk. 40 (forty) crore. (b) Minimum public offer at IPO up to paid-up capital (existing + proposed) of Tk. 75 (seventy five) crore shall be 40% (forty percent) of the said paid up capital. There shall be no private placement. (c) Minimum public offer at IPO with paid-up capital (existing + proposed) exceeding Tk. 75 (seventy five) crore and upto Tk. 150 (one hundred fifty) crore shall be 25% (twenty five percent) of the said paid up capital or Tk. 30 (thirty) crore whichever is higher. There shall be no private placement. (d) Minimum public offer at IPO above Tk. 150 (one hundred fifty) crore shall be 15% (fifteen percent) of the said paid up capital or Tk. 40 (forty) crore whichever is higher. (e) Market lot shall be Tk. 1,000 (one thousand) worth of securities at face value or multiple thereof.

By order of the Securities and Exchange Commission
Md. Ziaul Haque Khondker
Chairman.

প্রজ্ঞাপন

তারিখ, ১১ মার্চ, ২০১০

নং এসইসি/সিএমআরআরসিডি/২০০৬-১৫৭/প্রশাসন/----- সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন আইন, ১৯৯৩ (১৯৯৩ সনের ১৫নং আইন) এর ধারা ২৪এর উপধারা (২) এ মোতাবেক সরকারের সাথে পরামর্শক্রমে সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মিউচুয়াল ফান্ড) বিধিমালা, ২০০১ এ নিম্নোক্ত সংশোধন করিল, যথাঃ- উপরোক্ত বিধিমালায়,- ১। বিধি ৪৬ এর উপ-বিধি (১) নিম্নোক্তভাবে প্রতিস্থাপিত হইবেঃ

(১) প্রত্যেক নতুন মেয়াদী স্কীমের জন্য সংগৃহীতব্য ন্যূনতম অর্থের পরিমাণ হইবে ৫০ (পঞ্চাশ) কোটি টাকা এবং নতুন বে-মেয়াদী স্কীমের জন্য সংগৃহীতব্য ন্যূনতম অর্থের পরিমাণ হইবে ২০ (বিশ) কোটি টাকা। মিউচুয়াল ফান্ডের (স্কীমের) কমপক্ষে ৫০% (শতকরা পঞ্চাশ ভাগ) পাবলিক অফারের মাধ্যমে উত্তোলন করিতে হইবে। ২। বিধি ৫০ক নিম্নোক্ত ভাবে প্রতিস্থাপিত হইবেঃ ৫০ক। লক-ইন (Lock-in)।- মিউচুয়াল ফান্ডে (স্কীমে) প্রাইভেট পে-সমেন্টের মাধ্যমে বিনিয়োগকারীদের বিনিয়োগের উপর (অ) মেয়াদী ফান্ডের ইউনিটের ক্ষেত্রে, স্টক এক্সচেঞ্জে তালিকাভুক্তির তারিখ হইতে, এবং (আ) বে-মেয়াদী ফান্ডের ক্ষেত্রে, ফান্ড গঠনের তারিখ হইতে, উভয়

ক্ষেত্রে ১ (এক) বছর পর্যন্ত লক-ইন (Lock-in) বলবৎ থাকিবে।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন এর আদেশক্রমে

মোঃ জিয়াউল হক খোন্দকার
চেয়ারম্যান।

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital market, considers it to be appropriate that the proportion of credit facilities extended by the members of stock exchanges under the Margin Rules, 1999 should be refixed; Now, therefore, the Commission in exercise of its power conferred by section 20A of the Securities and Exchange Ordinance, 1969(XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. and their members to the effect that, the members of the stock exchanges shall not extend credit facilities to their approved clients beyond 1.5 (one point five) times of client's margin/ client's deposit(i.e credit facilities shall be on 1:1.5 basis) under the Margin Rules, 1999. This shall have effect from March 18, 2010.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman.

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital market, deems it appropriate to incorporate certain further provision in the Listing Regulations of the Chittagong Stock Exchange Ltd. in respect of the notice period of the board declaration of the listed issuer companies; Now, therefore, the Commission in exercise of its power conferred by section 34(4) of the Securities and Exchange Ordinance, 1969(XVII of 1969), hereby directs the Chittagong Stock Exchange Ltd, to amend the regulation 36(A)(4) of the Listing Regulations of the Chittagong Stock Exchange Ltd; namely;-
In regulation 36(A)(4); -

1. The word 'book closing date' and 'book closer date' shall be replaced by the words, "book closer date" and "record date" respectively.
2. In between the first and the second proviso, a new proviso shall be insert as follows:

"Provided further that the required notice period (prior to the date of commencement of book closer date period in case of physical securities and record date in case of demate securities) shall be 7(seven) market days, instead of 14(fourteen) market days, for securities (i.e., for A, B, G, N, category). As regards Z category securities the existing notice period of 14(fourteen) market days shall continue.

This shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker
Chairman

নং-এসইসি/সিএমআরআরসি/২০০১-৪৩/৩০

মার্চ ১৫, ২০১০ইং

আদেশ

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, ১৯৯৬ এর বিধি ৩৬ মোতাবেক তফসিলে উলি-খিত মার্জিন ঋণ প্রদান সংক্রান্ত নির্দেশনা নং ৬ (১) এ প্রদত্ত ক্ষমতাবলে এতদ্বারা মার্জিন ঋণ এর সর্বোচ্চ হার ১ : ১.৫ (এক অনুপাত এক দশমিক পাঁচ) পুনঃ নির্ধারণ করিল যাহার ভিত্তিতে মার্চেন্ট ব্যাংকার (পোর্টফোলিও ম্যানেজার) উহার মক্কেল তথা গ্রাহককে মার্চ ১৮, ২০১০ ইং হইতে, পরবর্তী নির্দেশ না দেওয়া পর্যন্ত, বিতরণযোগ্য মার্জিন ঋণ প্রদান করিতে পারিবে। লে-খ্য, এতদউদ্দেশ্যে প্রযোজ্য পোর্টফোলিও/সিকিউরিটির বাজারমূল্য নির্ধারণের ক্ষেত্রে নির্দেশনা নং ৭ অনুসরণ নিশ্চিত করিতে হইবে।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশনের পক্ষে
মোঃ জিয়াউল হক খোন্দকার
চেয়ারম্যান।

বিতরণঃ

সকল মার্চেন্ট ব্যাংকার

No. SEC/CMRRCD/2001-43/31

March 23, 2010

DIRECTIVE

Whereas, the Securities and Exchange Commission, in the interest of investors and capital market, considers it to be appropriate that the members of stock exchanges i.e. stock brokers should determine the market price of portfolio/securities for the purpose of margin requirement under the Margin Rules, 1999; Now, therefore, the Commission, in exercise of its power conferred by section 20A of the Securities and Exchange Ordinance, 1969(XVII of 1969), hereby directs the Dhaka Stock Exchange Ltd. and the Chittagong Stock Exchange Ltd. and their members to the effect that, the members of the stock exchanges i.e. stock brokers shall calculate the market price of portfolio/securities for the purpose of margin requirement in respect of their approved clients in the following manner, namely;- (a) The stock brokers shall calculate the market price of portfolio/securities to determine the Margin Requirement in the following manner (i.e. method): “Closing Price of the Securities + Net Asset Value (NAV)” 2 (b) All corporate benefit, such as dividend, bonus, rights etc. entitled according to book closure/record date will be added with portfolio value. (c) If the above method can not be applied in course of valuation of government securities and open-end mutual fund securities, in that case the stock brokers will follow objective consideration process to determine their market price. It is further directed that the stock brokers shall not provide margin facilities to any member of the Board of Directors of its own company, officer and staff employed in the company management, their parent, spouse, son, daughter, sister, brother, son-in-law, daughter-in-law and other relatives as per directive issued by the Commission from time to time. This shall have effect from 1st April 2010.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker

Chairman.

Distribution:

1. Dhaka Stock Exchange Ltd.
2. Chittagong Stock Exchange Ltd.
3. Central Depository Bangladesh Ltd.
4. Bangladesh Association of Publicly Listed Companies
5. Bangladesh Merchant Bankers Association

প্রজ্ঞাপন

তারিখ, ২৩ মার্চ, ২০১০

নং এসইসি/সিএমআরআরসিডি/২০০১-২৬/৩২/প্রশাসন/----- সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন আইন, ১৯৯৩ (১৯৯৩ সনের ১৫ নং আইন) এর ধারা ২৪ এর উপর উপধারা (১) এ প্রদত্ত ক্ষমতাবলে সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন, পূর্ব প্রকাশের পর, সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (সুবিধাভোগী ব্যবসা নিষিদ্ধকরণ) বিধিমালা, ১৯৯৫ এ নিলোক্ত সংশোধন করিল, যথাঃ- বিধি ৪, উপ-বিধি (২) নিলোক্তভাবে প্রতিস্থাপিত হইবে, যথাঃ- “স্টক এক্সচেঞ্জে তালিকাভুক্ত কোম্পানির কোন স্পন্সর (Sponsor), পরিচালক, কর্মকর্তা বা কর্মচারী, নিরীক্ষক বা নিরীক্ষাকার্যে সম্পৃক্ত ব্যক্তি, পরামর্শক বা আইন উপদেষ্টা, কিংবা Securities and Exchange Ordinance, 1969 (XVII of 1969) এর section 12-এ উলিখিত beneficial owner, উক্ত কোম্পানীর বার্ষিক হিসাব সমাপ্তির তারিখ এর দুই মাস পূর্ব (ইংরেজী পঞ্জিকা বছর মোতাবেক) হইতে উক্ত হিসাব কোম্পানীর পরিচালনা পর্ষদ (Board of Directors) কর্তৃক চূড়ান্তভাবে বিবেচিত, গৃহীত বা অনুমোদিত হওয়ার তারিখ পর্যন্ত সময়কালে আলোচ্য কোম্পানীর শেয়ার ক্রয়, বিক্রয় কিংবা অন্য কোন প্রকারে হস্তান্তর বা গ্রহণ করিতে পারিবেন না।”।

সিকিউরিটিজ ও এক্সচেঞ্জ কমিশনের আদেশক্রমে

মোঃ জিয়াউল হক খোন্দকার
চেয়ারম্যান।

MARKET PERFORMANCE

Dhaka Stock Exchange:

At the end of January-March, 2010 period, total issued capital of all listed securities including treasury bond with the Dhaka Stock Exchange Ltd was Tk. 566,632.00 million, compared to Tk. 522,099.00 million as on 31st December, 2009 registering an increase of 8.52%. The market capitalization of all listed securities of Dhaka Stock Exchange Ltd stood at Tk. 2,275,558.00 million on 31st March, 2010 which was Tk. 1,887,177.00 million on 31st December, 2009. The total turnover in Dhaka Stock Exchange was 2722 million securities amounting to Tk. 687,967.52 million during January-March, 2010.

Chittagong Stock Exchange:

At the end of January-March, 2010 period, total issued capital of all listed securities with the Chittagong Stock Exchange Ltd was Tk. 176,593.93 million, compared to Tk. 155,124.91 million as on 31st December, 2009 registering an increase of 13.83 %. The market capitalization of all listed securities of Chittagong Stock Exchange Ltd stood at Tk. 1,862,988.50 million during the quarter ended on 31st March, 2010, which was Tk. 1,470,807.05 million on 31st December, 2009. The total turnover in Chittagong Stock Exchange was 405.40 million securities amounting to Tk. 60,737.29 million during January-March, 2010.

Dhaka Stock Exchange Ltd (DSE)

Election in DSE:

48th Annual General Meeting (AGM) of DSE held on 27 March 2010, where Mr. Shakil Rizvi, Managing Director of Shakil Rizvi Securities Ltd and former vice president of DSE has been elected the President of Dhaka Stock Exchange Ltd for the year 2009-2010. Mr. Mohammad Nasir Uddin Chowdhury and Mr. Md. Rafiqul Islam have been elected the Senior Vice President and Vice President of DSE respectively. Before the AGM, election of directors of DSE took place on 21 March 2010. The DSE board is comprised of 25 members, of whom 12 are elected, 12 are nominated and one ex-officio. According to DSE regulations, four directors retire every year and four replace them through direct voting.

Inauguration of DSE Training Academy:

Economic Adviser to the Prime Minister Dr. Mashiur Rahman inaugurated the DSE Training Academy situated at the 5th Floor of 9/G, Dhaka-1000 on February 02, 2010. SEC Chairman Md. Ziaul Haque Khondker, Member Mansur Alam, among others, attended the occasion. The

Training Academy is established with the view to create more skilled human resources for the growing capital market.

Others:

- High Commissioner of the United Kingdom H.E. Stephen Evans visited DSE of 17 January 2010
- DSE conducted investors' awareness program at Barishal Club on January 09, 2010.

Chittagong Stock Exchange Ltd (CSE)

Application of Book Building method for Price Discovery:

CSE inaugurated book building method on 25 February 2010 at Pan Pacific Sonargoan Hotel and successfully introduced the method for price discovery of Ocean Container Ltd. During 22-24 February 2010, bidding process under book building method for the Ocean Contrainer Ltd took place where 82 eligible bidders participated for 1190000 shares. An indicative price of Tk 121.40 was fixed for the share and within $\pm 20\%$ range of the indicative price i.e. between Tk 98 to Tk 145, the bidders offered their bids during the period. At the end, a cut-off price of Tk 145 per share was discovered and shares were allocated at this price to the bidders.

Training Program Held in CSE:

CSE conducted its month long 9th comprehensive training course on capital market and an occasion of certificate distribution took place on 8 March 2010 at its conference room. CSE CEO Dr. Mohammad Abdulla Mamun at his speech expressed satisfaction on the program and termed such program would help to develop the human resource in the capital market. On 12-13 March 2010, a two day long training program for authorized representatives of the members of CSE held at hotel Agrabad, where SEC Member Muhammad Yasin Ali inaugurated the program. Mr. Yasin Ali gave a hint of a more suitable guideline for the authorized representatives under preparation and asked them to uphold best level of honesty and sincerity in their professionalism. SEC and CSE senior officials conducted the training sessions.

DSE & CSE SCENARIO

Capital Market:

As of 31st March, 2010 the total issued capital of all listed securities including treasury bonds of Dhaka Stock Exchange was Tk.566,632.00 million (US\$ 8,181.23 million) which was Tk. 522,099.00 million (US\$ 7,548.00 million) on 31st December,2009. In Chittagong Stock Exchange the total issued capital on 31st March 2010 was Tk.176,593.93 million (US\$2,522.77million) which was Tk.155,124.91 million (US\$2,216.07 million) on 31st December, 2009.

Stock Market Operation:

During January-March, 2010 the total turnover in Dhaka Stock Exchange was 2,722 million securities while the total amount traded on Dhaka Stock Exchange during the same period was Tk. 687,967.52 million

In the same period, the total turnover in Chittagong Stock Exchange was 405.40 million securities while the total amount traded on Chittagong Stock Exchange during the same period was Tk. 60,737.29 million.

Market Capitalization:

Total market capitalization of all securities including treasury bonds listed on the Dhaka Stock Exchange was Tk. 2,275,558.00 million (US\$32,855.00 million) as on 31st March, 2010 compared to Tk. 1,887,177.00 million (US\$27,283.00 million) as on 31st December, 2009.

In the Chittagong Stock Exchange, total market capitalization of all listed securities was Tk. 1,862,988.50 million (US\$ 26,614.12 million) as on 31st March 2010 compared to Tk. 1,470,807.05 million (US\$ 21,011.53 million) as on 31st December 2009.

Share Price Index:

DSE all share price index stood at 4,573.81 on 31st March 2010 compared to 3747.53 on 31st December 2009. The CSE all share price index stood at 16,193.9992 on 31st March 2010 while it was 13,181.3755 on 31st December. 2009. The index bases are 100 and 1000 for DSE and CSE respectively.

(1 US\$ =Tk.69.00 For January-March, 2010 quarter)

Bangladesh Capital Market Summary

As on 31st March, 2010

Indicators	Dhaka Stock Exchange	Chittagong Stock Exchange	
No. of companies	240	201	
No. of mutual funds	23	23	
No. of debentures	8	2	
No. of treasury bonds	164	-	
No. of corporate bonds	2	-	
<i>Total No. of Listed Securities</i>	437	226	
Figures in million			
No. of shares of all listed companies	4336	4,442.27	
No. of certificates of all listed mutual funds	945	945.28	
No. of debentures of all listed debentures	0.41	4.34	
No. of all listed govt. T-bonds	3.85	-	
No. of all listed corporate bonds	4.34	-	
<i>Total No. of Tradable Securities</i>	5290	5,391.89	
Figures in million			
Issued capital of all companies	TK.	166,577.00	162,492.58
	US\$	2,405.00	2,321.32
Issued capital of all mutual funds	TK.	9,766.00	9,765.50
	US\$	141.00	139.51
Issued debentures	TK.	140.00	4,335.85
	US\$	2.00	61.94
Total issued capital	TK.	566,632.00	176,593.93
	US\$	8,181.23	2,522.77
Figures in million			
Total Market Capitalization	TK.	2,275,558.00	1,862,988.50
	US\$	32,855.00	26,614.12
All Share Price Index	4,573.81	16,193.9992	

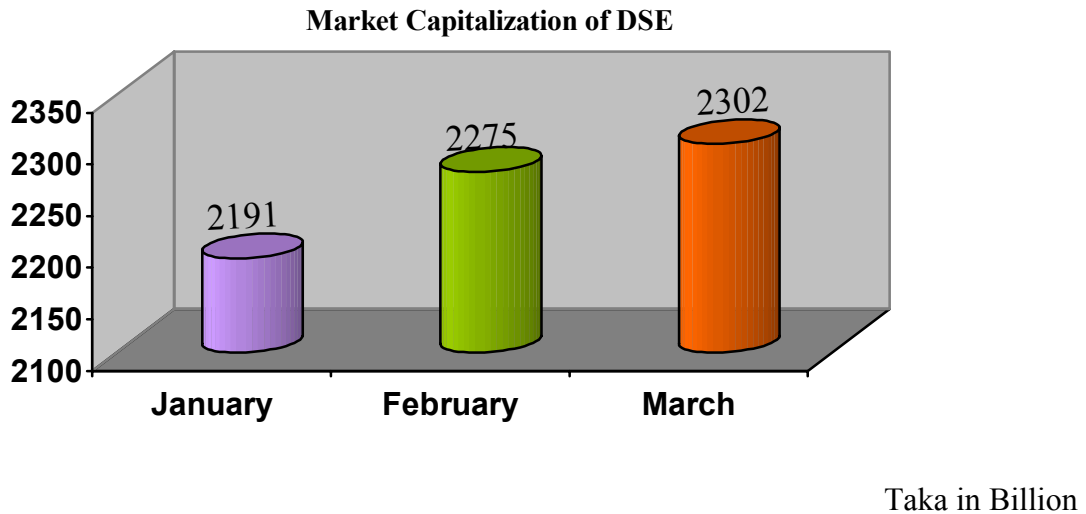
DSE OPERATIONAL STATISTICS

January-March 2010

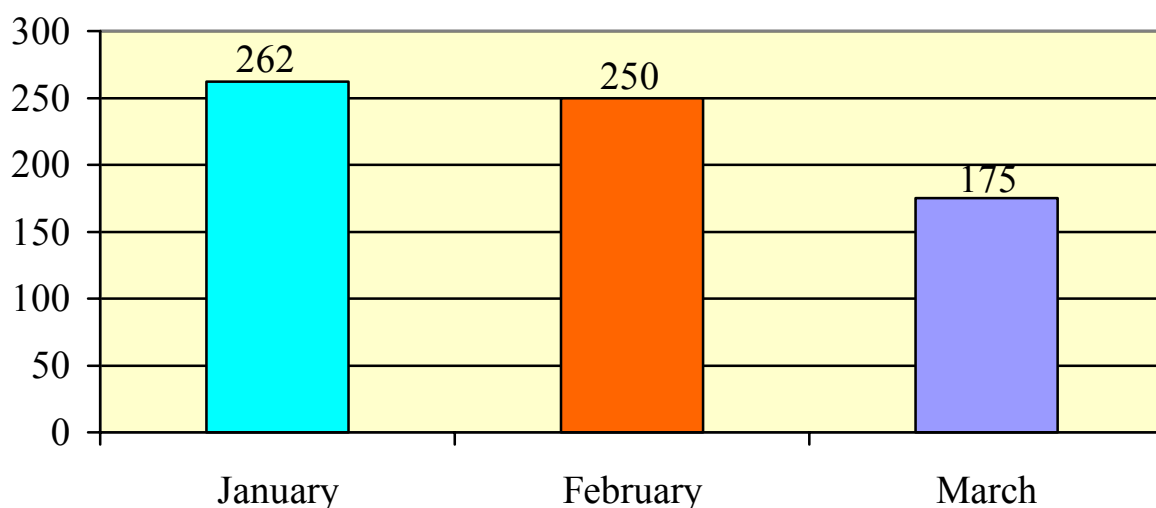
Trading Month	All Share Price Index	Market Capitalization in Million	No. of Trading	Turnover of Securities in Million (No.)	Traded Amount in Million

			Days	Monthly	Daily Average	Monthly	Daily Average
January	4,422.81	2,191,831	21	1021	49	262,869.41	12,517.59
February	4,549.60	2,275,302	19	954	50	249,982.12	13,156.95
March	4,573.81	2,302,582	22	748	34	175,115.99	7,959.82
Total	-	-	62	2722	44	687,967.52	11,096.25

**Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.*



Turnover of Securities (Value)



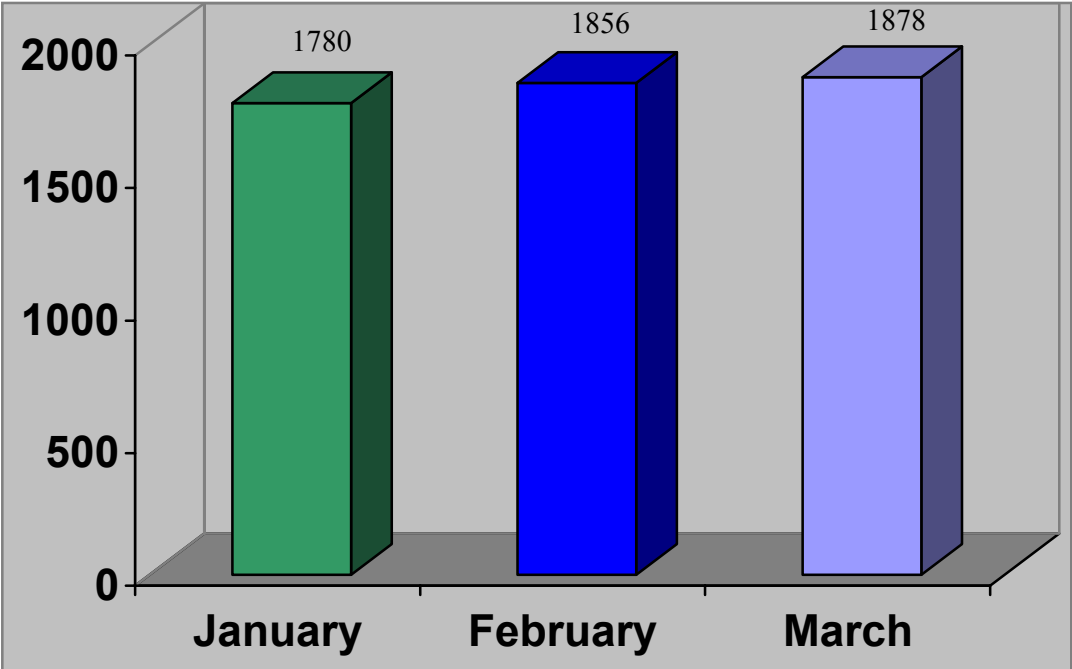
Taka in Billion

CSE OPERATIONAL STATISTICS January-March 2010

Trading Month	All Share Price Index	Market Capitalization in Million	No. of Trading Days	Turnover of Securities in Million (No.)		Traded Amount in Million	
				Monthly	Daily Average	Monthly	Daily Average
January	15593.4424	Tk. 1,780,343.81	21	146.83	6.99	Tk.20,621.51	Tk.981.98
February	16109.5187	Tk. 1,856,292.57	19	143.27	7.54	Tk. 23,153.67	Tk. 1,218.61
March	16193.9992	Tk. 1,877,863.98	22	115.30	5.24	Tk. 16,962.11	Tk. 771.01
Total			62	405.40	6.54	Tk. 60,737.29	Tk. 979.63

**Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.*

Market Capitalization of CSE



Taka in Billion

Turnover of Securities (value)

Taka in Billion

